

Report Reference Number: A/22/4

To: Audit and Governance Committee
Date: 27 July 2022
Author: Connor Munro; Assistant Director, Audit Assurance, Veritau Group
Lead Officer: Karen Iveson; Chief Finance Officer

Title: Risk Management Annual Report 2021/22

Summary:

The report provides a summary of risk management activity in 2021/22 and proposed actions to be taken in 2022/23.

Recommendation:

Councillors note the risk management activity undertaken in 2021/22 and the proposed actions for 2022/23.

Reasons for Recommendation

To support the Audit and Governance Committee's responsibility for considering the effectiveness of the Council's risk management arrangements.

1. Introduction and Background

- 1.1 It is the responsibility of all service managers to identify and manage risks associated with the delivery of their services. Veritau provides support to this process by facilitating risk management activity and providing advice and training to officers and the committee.
- 1.2 This report summarises work undertaken by the Council in maintaining and improving its risk management framework during the year, and work done by Veritau to support this.

2. The Report

- 2.1 Over the past year, the following action has been taken to develop risk management activity and review current risks.

- The risk management annual report 2020/21 was reported to the Audit and Governance Committee in July 2021.
- A summary of corporate risks was reported to the Audit and Governance Committee in July 2021 and January 2022.
- A review of the risk management strategy was completed and reported to the committee in January 2022.
- Officers have been reminded to update service based risk registers to ensure that they accurately reflect existing and emerging risks.
- Veritau has supported managers to review risks in their service areas, and to identify mitigating actions where necessary.

2.2 Selby District Council is represented at the LGR Strategic Risk Management Group and so is well positioned to influence and shape the risk management arrangements for the new authority. Against this backdrop of change, further development activity planned for the Council's own risk management arrangements will no longer take place. As a result, risk management activity during the Council's final year of operation in 2022/23 will comprise:

- Regular reporting of the corporate risk register to Extended Leadership Team and to Audit and Governance Committee.
- Continuation of risk drop-in and training sessions for officers and members.
- Ongoing support for the review and maintenance of service based risk registers.

3. Implications

3.1 There are no legal, financial, policy & risk, corporate plan, resource or other implications from this report.

4. Conclusion

4.1 Overall, a number of actions have been taken in order to further facilitate and embed sound risk management processes within the Council. Work is planned for 2022/23 to develop this further.

5. Background Documents

Risk management strategy – revised January 2022

Contact Officer: **Connor Munro; Assistant Director – Audit Assurance – Veritau Group**

connor.munro@veritau.co.uk

Richard Smith; Deputy Head of Internal Audit - Veritau Group

richard.smith@veritau.co.uk